

IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

Investor(s): Joan Simon Raventos

Confirmation No.: 2088

Application No.: 09/872,442

Examiner:
SHAH, NILESH R.

Filing Date: June 01, 2001

Group Art Unit: 2127

Title: SYSTEM AND METHOD FOR ENABLING TRANSACTION-BASED SERVICE UTILIZING
NON-TRANSACTIONAL RESOURCES

Mail Stop Amendment
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- () under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- (X) under 37 CFR 1.97(c) together with either a:
 - () Statement under 37 CFR 1.97(e), or
 - (X) a \$180.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- () under 37 CFR 1.97 (d) together with a:
 - () Statement under 37 CFR 1.97(e)(1) or (2), and
 - () a \$180.00 fee set forth in 37 CFR 1.17(p).
(Filed after final action, a notice of allowance, on or before payment of the issue fee)

Please charge to Deposit Account 08-2025 the sum of \$180.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 08-2025 pursuant to 37 CFR 1.25.

(X) Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Statement together with any required copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

(X) A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

11/22/2004 AWONDAF1 00000108 082025 09872442
01 FC:1806 180.00 DA

It is requested that the information disclosed herein be made of record in this application.

"Express Mail" label no. EV 482739794 US

Date of Deposit Nov. 16, 2004

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Commissioner for Patents, Alexandria, VA 22313-1450.

By Phyllis Ewing
Typed Name: Phyllis Ewing

Respectfully submitted,

Joan Simon Raventos

By

Jody C. Bishop
Jody C. Bishop

Attorney/Agent for Applicant(s)
Reg. No. 44,034

Date: Nov. 16, 2004

PATENT APPLICATION

Sheet 1 of 1

FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

10012815-1

APPLICATION NO.

09/872,442

CONFIRMATION NO.

2088

APPLICANT

Joan Simon Raventos

FILING DATE

June 01, 2001

GROUP

2127

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	1A	6,115,715	Sep. 5, 2000	Traversat et al.	
	1B				
	1C				
	1D				
	1E				
	1F				
	1G				
	1H				
	1I				
	1J				
	1K				

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	1L					
	1M					
	1N					
	1O					
	1P					

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

1Q	JOHANNSEN, Wolfgang; "TRANSACTION MODELS FOR FEDERATIVE DISTRIBUTED DATABASE SYSTEMS," IBM Germany, European Networking Center, Tiergartenstrasse 8, W-6900 Heideleberg, Germany, Elsevier Science Publishers B.V., 1992, pp. 329-337.
1R	"GUIDE - DISTRIBUTED TRANSACTION PROCESSING: REFERENCE MODEL, VERSION 3," The Open Group, Published by X/Open Company Ltd., U.K., February 1996, table of contents -12 pages and pp. 1-34.
1S	European Search Report issued for EP 02 25 3810, dated August 10, 2004.

EXAMINER

DATE CONSIDERED